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IN THE UNITED STATES PATENT & TRADEMARK OFFICE

IN RE APPLICATION OF:

Krzysztof MATYJASZEWSKI et al

SERIAL NO: NEW APPLICATION

FILED: Herewith

FOR: IMPROVEMENTS IN ATOM OR GROUP TRANSFER
RADICAL POLYMERIZATION

PRELIMINARY AMENDMENT

ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

SIR:

Prior to examination on the merits, please amend the above-identified application as follows.

IN THE CLAIMS

Please cancel Claims 1-67.

Please add the following new claims:

--68. A multifunctional polymerization initiator, comprising at least one initiation site that can initiate an atom or group transfer radical polymerization (ATRP) and at least one initiation site that can initiate a non-ATRP polymerization.

69. The initiator of Claim 68, wherein said non-ATRP polymerization comprises a polymerization process selected from the group consisting of cationic, anionic, free radical,